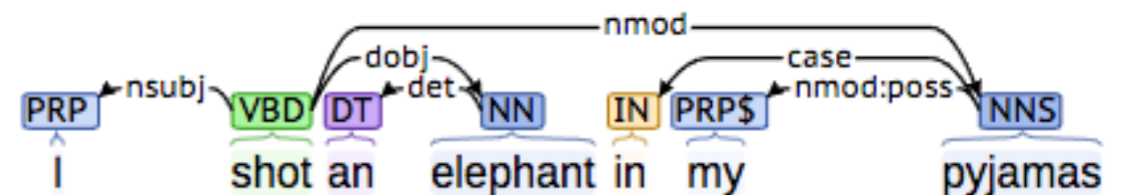


Dependency Grammar & Parsing

COMP90042 Lecture 19



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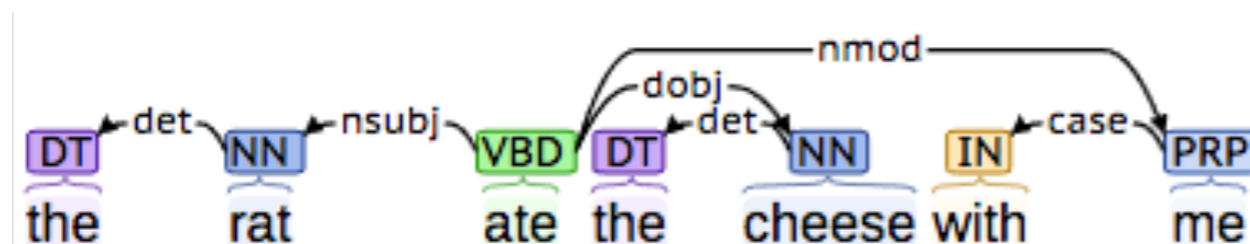
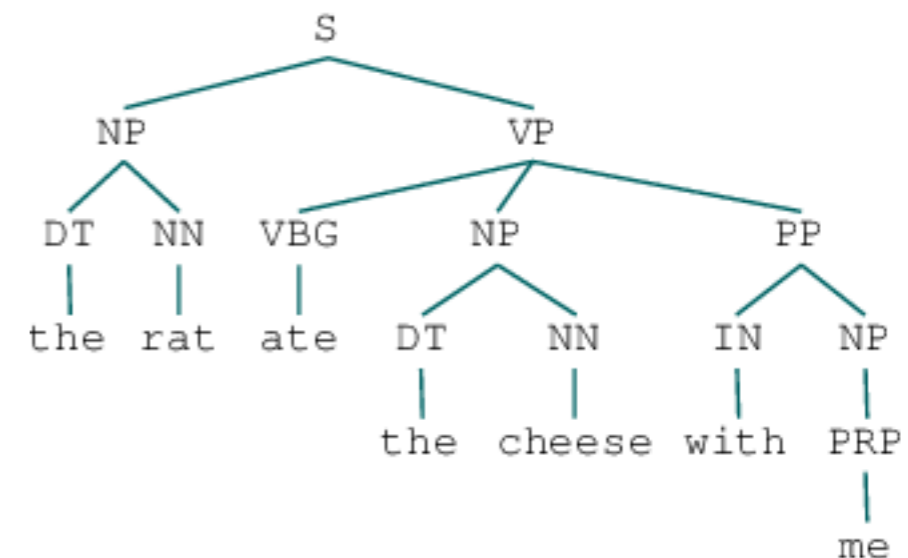


Outline

- Dependency grammars
- Projectivity
- Parsing methods
 - * transition-based parsing
 - * graph-based

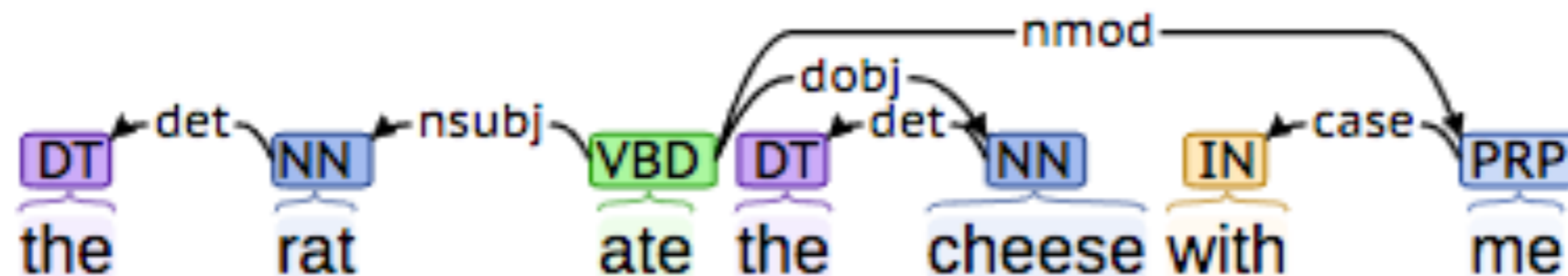
Dependency G vs. Phrase-Structure G

- *phrase-structure grammars* assume a *constituency tree* which identifies the *phrases* in a sentence
 - * based on idea that these phrases are interchangeable (e.g., swap an NP for another NP) and maintain grammaticality
- *dependency grammar* offers a simpler approach
 - * describe binary relations between pairs of words
 - * namely, between *heads* and *dependents*
- Building on notion of *head* as seen in phrase-structure parsers...



What is a Dependency?

- Links between a *head* word and its *dependent* words in the sentence: either *syntactic roles* or *modifier relations*



- Several types of dependency, e.g.,
 - * *argument* of a predicate, e.g., *ate(rat, cheese)*
 - rat* is the *subject* of verb *ate* (thing doing the eating)
 - cheese* is the *direct object* of verb *ate* (thing being eaten)

What is a Dependency II

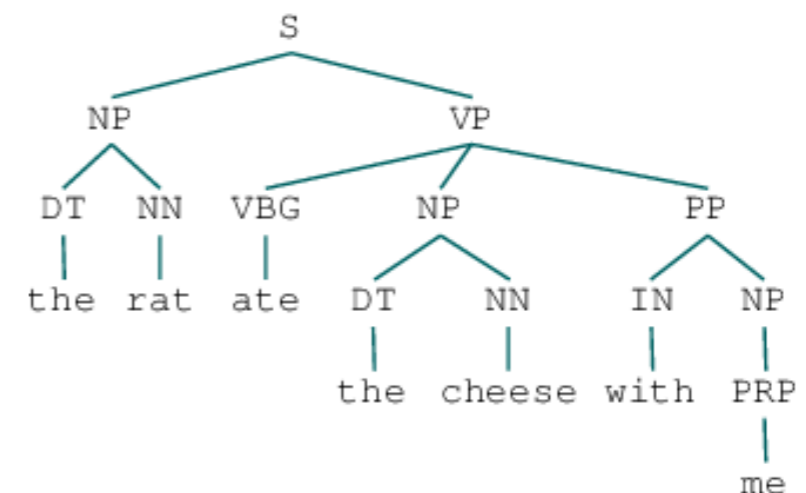
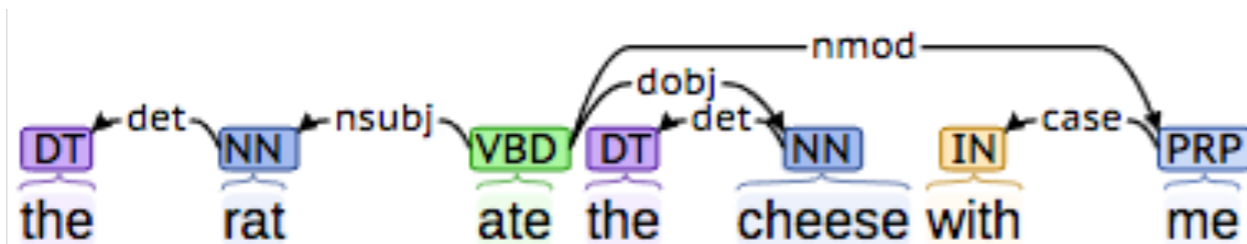
- Other types of dependencies include
 - * a *modifier* which is typically optional (aka *adjunct*)
 - [(with) *me*] modifies the act of (the rat) *eating*
 - * *specifiers*, e.g., *the rat*, *the cheese*, *with me*
 - help to specify the referent (which rat?), the head's relation, etc.
- Head and *type* of relation will affect dependents
 - * Case, verb-subject agreement:
I talk to myself, vs **me talks to I*
 - * agreement for number, gender and case

Dependency types

- Edges labelled with the dependency *type*, e.g., *Stanford types*, e.g., sample types (key: *head*, **dependent**)
 - * NSUBJ **Daniel** *speaks* Brazilian Portuguese
(nominal subject)
 - * DOBJ Trevor *presented* a **lecture** in English
(direct object)
 - * IOBJ Morpheus *gave* **Neo** the red pill
(indirect object)
 - * APPOS *Neo*, the main **character**, swallowed the pill
(appositive)
- See reading for more!

Why dependencies?

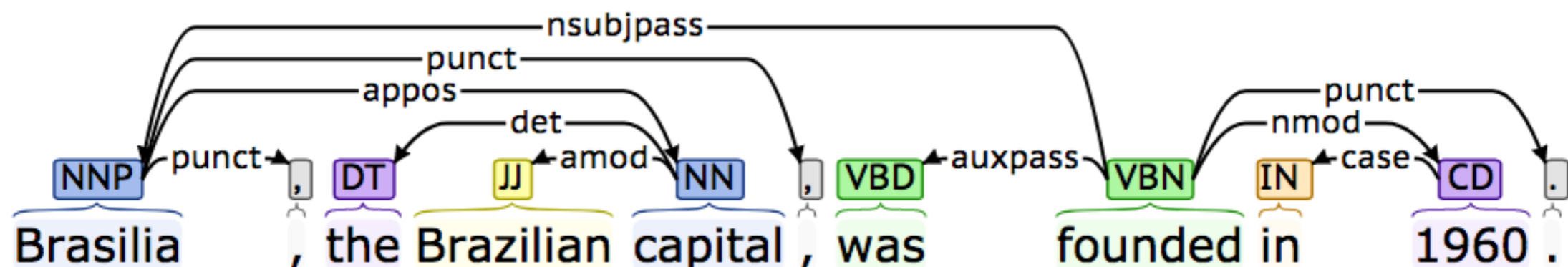
- Dependency tree more directly represents the core of the sentence: *who did what to whom?*
 - * captured by the links incident on verb nodes, e.g., NSUBJ, DOBJ etc; easier to answer questions like:
 - what was the main thing being expressed in the sentence (*eating* = root)



- * more minor details are buried deeper in the tree (e.g., adjectives, determiners etc)

Dependencies in NLP models

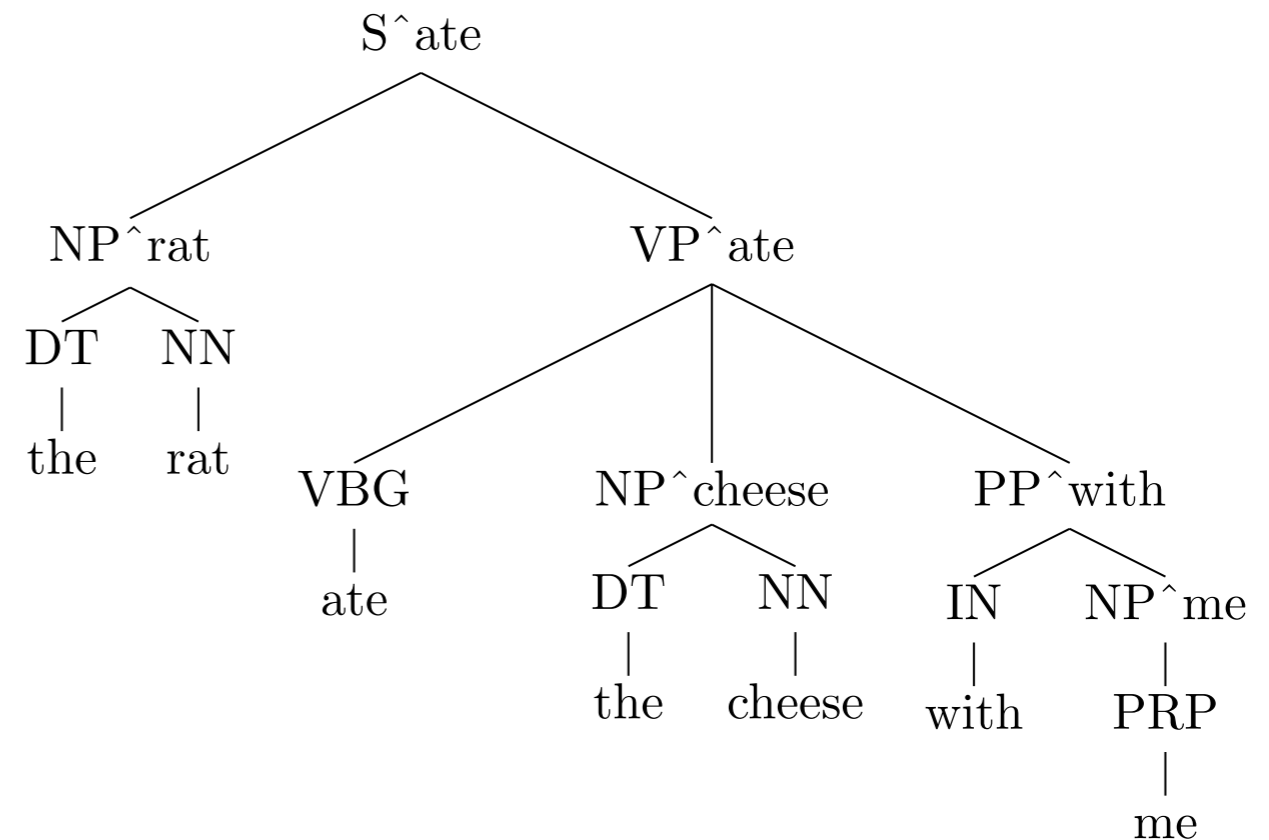
- What can we do with dependency trees?
 - * use as features for other tasks, e.g., sentiment, relation extraction, QA, various semantic tasks.
- E.g., relation extraction
 - * “Brasilia, the Brazilian capital, was founded in 1960.”
→ capital(Brazil, Brasilia); founded(Brasilia, 1960)
 - * parts of the tree capture relations in succinctly and in a generalisable way



Dependency vs head

- Close similarity with ‘head’ in phrase-structure grammars
 - * the ‘head’ of an XP is (mostly) an X, i.e., noun in a NP, verb in a VP etc.
 - * main dependency edges captured in rewrite rules

- $S^{\text{ate}} \rightarrow NP^{\text{rat}} VP^{\text{ate}}$
captures dependency
 $\text{rat} \leftarrow \text{ate}$



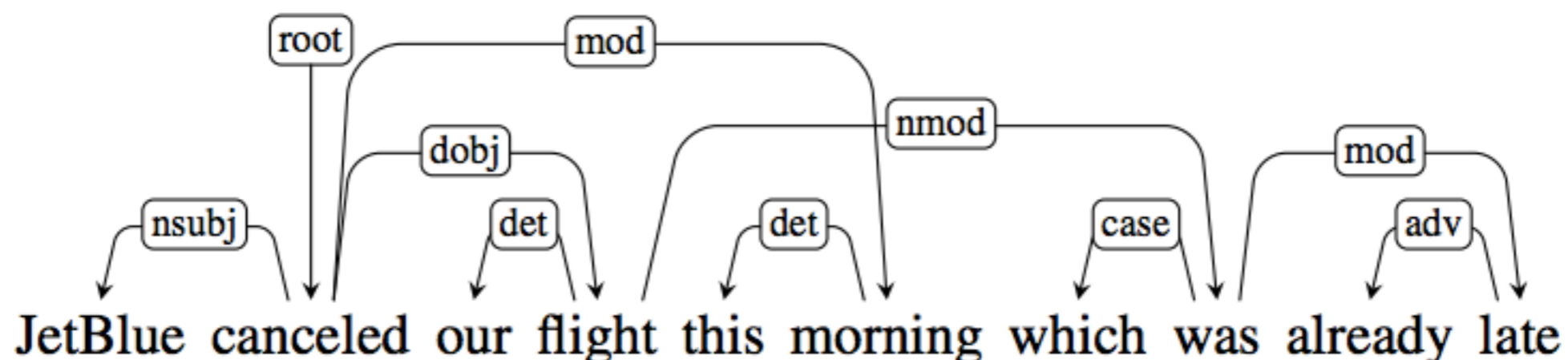
Dependency *tree*

- Dependency edges form a *tree*
 - * each node is a *word token*
 - * one node is chosen as the *root*
 - * directed edges link heads and their dependents
- Cf. phrase-structure grammars
 - * forms a hierarchical tree
 - * word tokens are the *leaves*
 - * internal nodes are ‘constituent phrases’ e.g., NP, VP etc
- Both use part-of-speech

Projectivity

- A tree is **projective** if, for all arcs from head to dependent
 - * there is a path from the head to every word that lies between the head and the dependent
 - * I.e., the tree can be drawn on a plane without any arcs crossing
- Most sentences are projective, however exceptions exist (fairly common in other languages)

Figure JM3, Ch 13



Dependency grammar

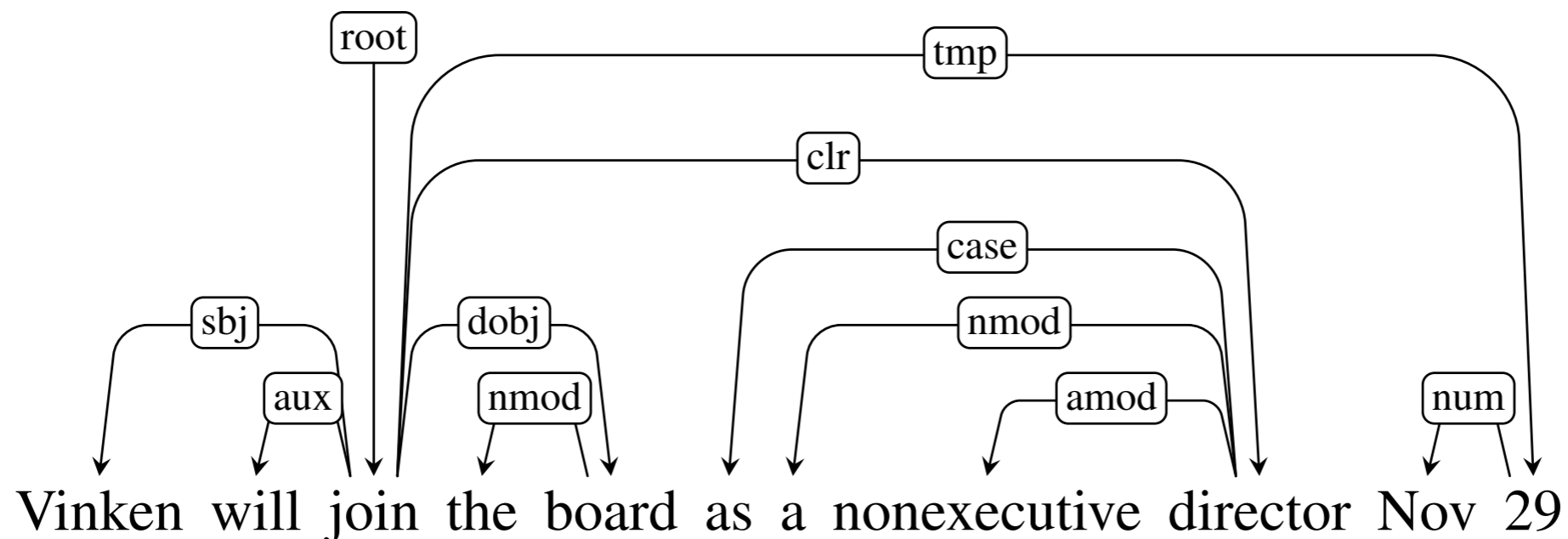
- Not really a grammar, in sense of a '*generative grammar*'
 - * cannot be said to define a language, unlike a context free grammar
 - * any structure is valid, job of *probabilistic model* to differentiate between poor and good alternatives
- However, very practical and closely matches what we want from a parser (most often predicates & arguments)

Dependency treebanks

- A few dependency treebanks
 - * Czech, Arabic, Danish, Dutch, Greek, Turkish ...
- Many more phrase-structure treebanks, which can be *converted* into dependencies
- More recently, *Universal Dependency Treebank*
 - * collates >100 treebanks, >60 languages
 - * unified part-of-speech, morphology labels, relation types
 - * consistent handling of conjunctions and other tricky cases
- <http://universaldependencies.org/>

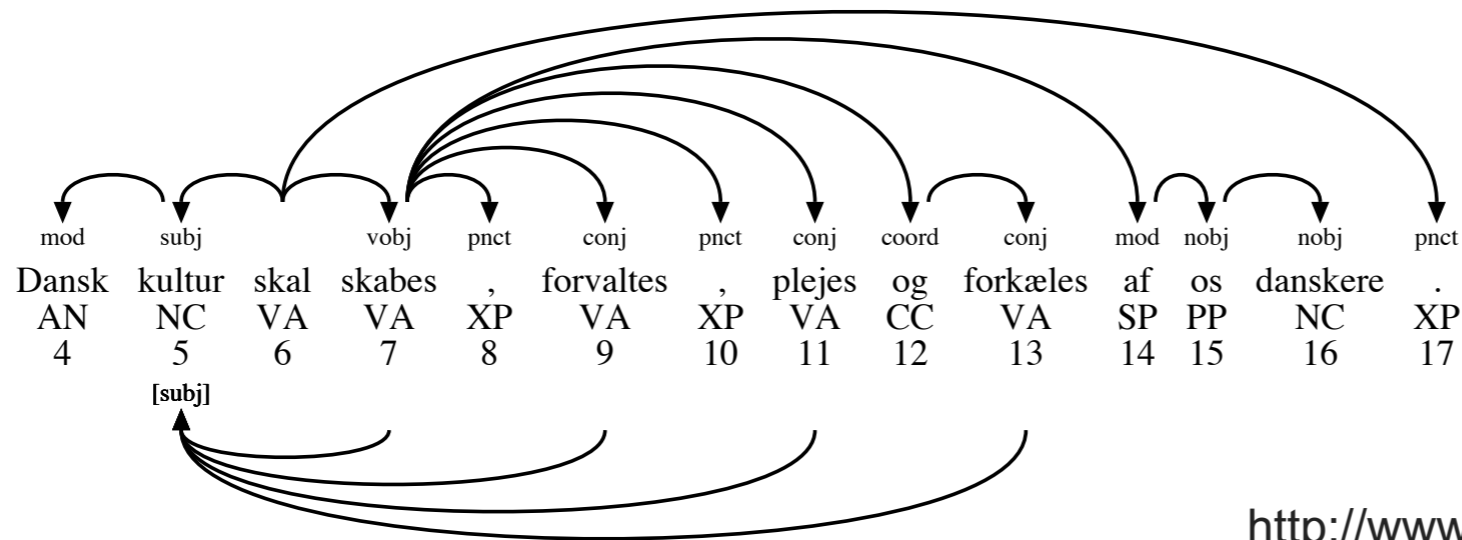
Treebank conversion

- Some treebanks automatically converted into dependencies
 - * using various heuristics, e.g., head-finding rules
 - * often with manual correction



Examples from treebanks

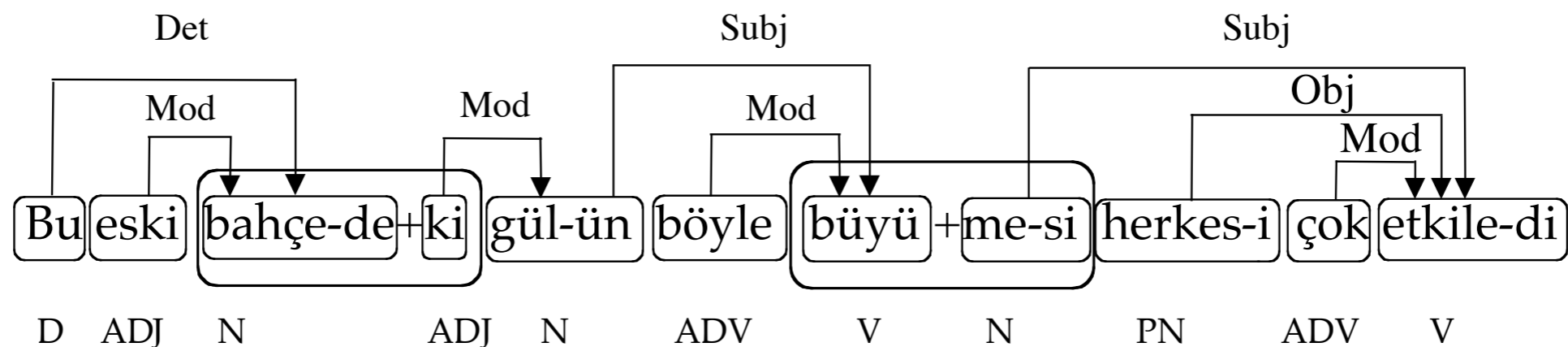
- Danish DDT includes additional 'subject' link for verbs



<http://www.buch-kromann.dk/matthias/ddt1.0/>

- METU-Sabancı Turkish treebank

- * edges between morphological units, not just words (-,+)



Oflazer, Kemal, et al. "Building a Turkish treebank." *Treebanks*. Springer, 2003. 261-277.

Dependency parsing

- Parsing: task of finding the *best* structure for a given input sentence
 - * i.e., $\arg \max_t \text{score}(\mathbf{t}/\mathbf{w})$
- Two main approaches:
 - * *graph-based*: uses *chart* over possible parses, and dynamic programming to solve for the maximum
 - * *transition-based*: treats problem as incremental sequence of decisions over next action in a state machine

Transition based parsing

- Frames parsing as sequence of simple parsing transitions
 - * maintain two data structures
 - *buffer* = input words yet to be processed
 - *stack* = head words currently being processed
 - * two types of transitions
 - *shift* = move word from buffer on to top of stack
 - *arc* = add arc (left/right) between top two items on stack (and *remove* dependent from stack)

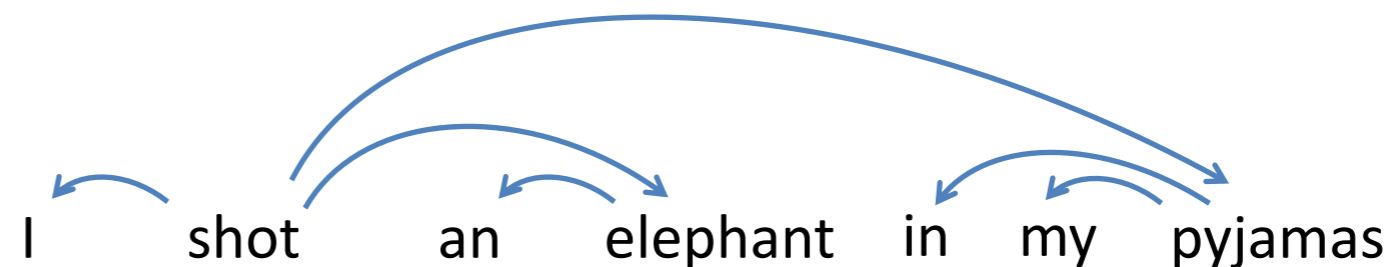
Transition based parsing algorithm

- For each word in input (buffer)
 - * *shift* current word from buffer onto stack
 - * while there are 2 or more items on stack:
 - either:
 - a) add an *arc (left or right)* between top two items, and remove the dependent; or
 - b) continue to outer loop
- Finished when buffer empty & stack has only 1 item
- Always results in a *projective tree*

Example

Buffer	Stack	Action
I shot an elephant in my pyjamas		Shift
shot an elephant in my pyjamas	I	Shift
an elephant in my pyjamas	I, shot	Arc-left
an elephant in my pyjamas	shot	Shift
elephant in my pyjamas	shot, an	Shift
in my pyjamas	shot, an, elephant	Arc-left
in my pyjamas	shot, elephant	Arc-right
in my pyjamas	shot	Shift
...
	shot	<done>

Generated parse:



Transition based parsing models

- How do we know when to *arc* and whether to add *left* or *right* facing arcs?
- Use a scoring function, $score(buffer, stack, transition)$, based on the state, i.e.,
 - * the next word(s) in the buffer
 - * the contents of the stack, particularly the top two items
 - * the transition type, one of $\{continue, arc-left, arc-right\}$
- Then select the *transition* with the highest score (greedy search)

Transition based scoring

- Form a feature representation for the state
- Example features, ϕ
 - * [stack top has tag NN & next in stack has tag DT & transition = arc-left]
 - * [stack top has tag NN & next in stack has tag DT & transition = arc-right]
 - * [stack top has tag NN & next in stack is “has” & transition = arc-right]
 - * [stack top has tag JJ & next in stack has tag DT & transition = shift]
- Have a *weight* for each feature, w
 - * such that the parser can choose between the possible transitions (e.g., arc-left, arc-right, shift)

Training a Transition-based Dep Parser

- How to learn the feature weights from data?
Perceptron training (Goldberg & Nivre, COLING 2012)
 - * uses an “oracle” sequence of parser actions
 - * predict next action in sequence, and update when model disagrees with gold action

Algorithm 2 Online training with a static oracle

```

1:  $\mathbf{w} \leftarrow 0$ 
2: for  $I = 1 \rightarrow \text{ITERATIONS}$  do
3:   for sentence  $x$  with gold tree  $G_{\text{gold}}$  in corpus do
4:      $c \leftarrow c_s(x)$ 
5:     while  $c$  is not terminal do
6:        $t_p \leftarrow \arg \max_t \mathbf{w} \cdot \phi(c, t)$ 
7:        $t_o \leftarrow o(c, G_{\text{gold}})$ 
8:       if  $t_p \neq t_o$  then
9:          $\mathbf{w} \leftarrow \mathbf{w} + \phi(c, t_o) - \phi(c, t_p)$ 
10:       $c \leftarrow t_o(c)$ 
11: return  $\mathbf{w}$ 

```

Graph based parsing

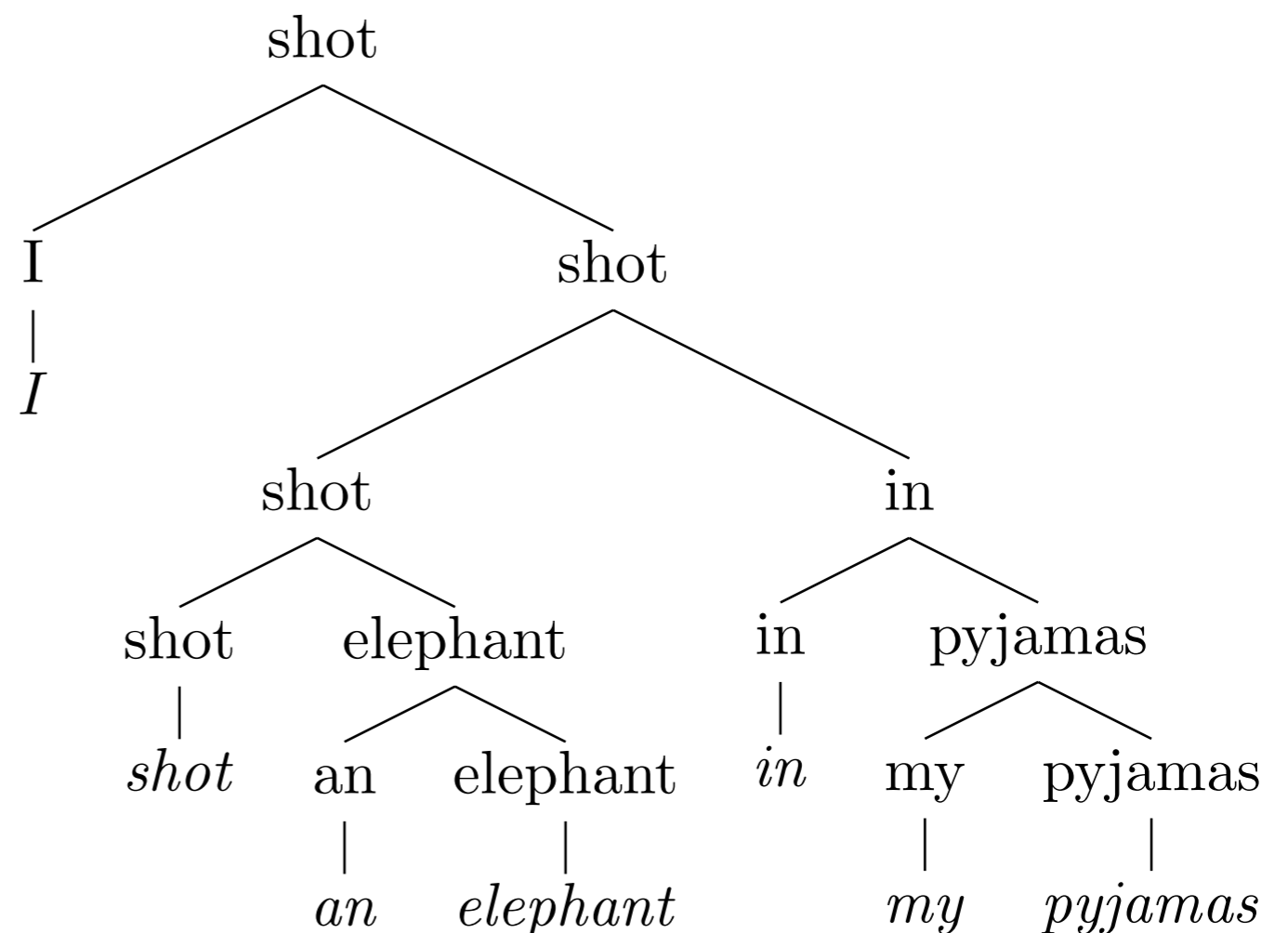
- Dependency parsing using dynamic programming...
 - * Can consider as a CFG, where lexical items (heads) are non-terminals

E.g., production

shot → **shot in**
means *arc-right* from
“shot” to “in”

The head is carried up
in the tree.

- * Score of parse assumed to decompose into pairwise dependencies



Graph based parsing

- Naïve method for using CYK inefficient
 - * Parsing complexity $O(n^5)$
 - * split encoding allows processing of left or right dependents separately, leading to $O(n^3)$ runtime (Johnson, 2017)
- Alternatively can use Chiu-Liu-Edmond's algorithm
 - * minimum cost arborescence (spanning tree)

A final word

- Dependency parsing a compelling, alternative, formulation to constituency parsing
 - * structures based on words as internal nodes
 - * edges encode word-word syntactic and semantic relations
 - * often this is the information we need for other tasks!
- Transition-based parsing algorithm
 - * as sequence of shift and arc actions
- Graph-based parsing
 - * uses classic dynamic programming methods (similar to CYK)

Required Reading

- J&M3 Ch. 13