# Neural sequence models

#### COMP90042 Lecture 12



## Language models

- Assign a probability to a sequence of words
- Framed as "sliding a window" over the sentence, predicting each word from finite context to left

E.g., n = 3, a trigram model

 $P(w_1, w_2, \dots, w_m) = \prod_{i=1}^m P(w_i | w_{i-2} w_{i-1})$ 

- Training (estimation) from frequency counts
  - \* Difficulty with rare events  $\rightarrow$  smoothing

#### LMs as classifiers

LMs can be considered simple classifiers, e.g. trigram model

$$P(w_i | w_{i-2} = "cow", w_{i-1} = "eats")$$

classifies the likely next word in a sequence.

```
Has a parameter for every
w_{i-2}, w_{i-1}, w_i
```

Can think of this as a specific type of classifier — one with a simple parameterisation.

## POS tagging as sequence classification

POS tagging can also be framed as classification:

$$P(t_i | w_{i-1} = "cow", w_i = "eats")$$

classifies the likely POS tag for "eats".

Could use same parameterisation, with parameter for every

 $w_{i-1}, w_i, t_i$ 

- Why not use a fancier classifier? (Neural net)
- Can we make better use of context? (Recurrence)

# Outline

## Neural network fundamentals "Feed-forward" & recurrent neural language models

# Feed forward neural net LMs

- Use neural network "classifier" to model  $P(w_i|w_{i-2}w_{i-1})$ 
  - \* input features = the previous two words
  - \* output class = the next word
- How to handle massive space of V words?
   Embeddings!
  - \* embed input context words
  - \* transform in "hidden" space
  - \* "un-embed" back to vocab space
- Neural network used to define transformations



# Why bother?

- Ngram LMs
  - \* cheap to train (just compute counts)
  - but too many parameters, problems with sparsity and scaling to larger contexts
  - don't adequately capture properties of words (grammatical and semantic similarity), e.g., film vs movie
- NNLMs more robust
  - \* force words through low-dimensional embeddings
  - automatically capture word properties, leading to more robust estimates
  - \* flexible: minor change to adapt to other tasks (tagging)

## Neural networks

"Deep" neural networks provide mechanism for learning richer models.

Based on **vector** *embeddings* and compositional functions over these vectors.

- Word embeddings capture grammatical and semantic similarity "cows" ~ "sheep", "eats" ~ "chews" etc.
- Vector composition can allow for combinations of features to be learned (e.g., humans consume meat)
- Limit size of vector representation to keep model capacity under control.

#### **Components of NN classifier**

- NN = Neural Network
  - \* a.k.a. artificial NN, deep learning, multilayer perceptron
- Composed of simple functions of vector-valued inputs  $(v_1) \quad (v_2) \quad \dots \quad (v_d)$



# **NN Units**

- Each "unit" is a function
  - \* given input x, computes real-value (scalar) h

$$h = \tanh\left(\sum_{j} w_j x_j + b\right)$$

- \* scales input (with weights, w) and adds offset (bias, b)
- applies non-linear function, such as logistic sigmoid, hyperbolic sigmoid (tanh), or rectified linear unit

#### Neural network components

• Typically have several hidden units, i.e.,

$$h_i = \tanh\left(\sum_j w_{ij}x_j + b_i\right)$$

- \* each with own weights  $(w_i)$  and bias term  $(b_i)$
- \* can be expressed using matrix & vector operators

$$\vec{h} = \tanh\left(W\vec{x} + \vec{b}\right)$$

- where W is a matrix comprising the unit weight vectors, and b is a vector of all the bias terms
- \* non-linear function applied element-wise

# **ANN** in pictures

 Pictorial representation of a single unit, for computing y from x

- Typical networks have several units, and additional layers
- E.g., output layer, for classification target





# Coupling the Output layer

- To make this into a classifier, need to produce a classification output
  - \* probabilities for the next word (of size |V|)
- Add another layer, which takes h as input, and maps into |V| sized vector
- Softmax ensures probabilities >0 & sum to 1

$$\left[\frac{\exp(v_1)}{\sum_i \exp(v_i)}, \quad \frac{\exp(v_2)}{\sum_i \exp(v_i)}, \quad \dots \quad \frac{\exp(v_m)}{\sum_i \exp(v_i)}\right]$$

#### **Deep structures**

- Can stack several hidden layers; e.g.,
  - 1. map from 1-hot words, w, to word embeddings, e (lookup)
  - 2. transform  $\boldsymbol{e}$  to hidden state  $\boldsymbol{h}_1$  (with non-linearity)
  - **3**. transform  $h_1$  to hidden state  $h_2$  (with non-linearity)
  - **4**. ... repeat ...
  - 5. transform  $h_n$ , to output classification space y (with softmax)
- Each layer typically fully-connected to next lower layer, i.e., each unit is connected to all input elements

# Learning from Data

- How to learn the parameters from data?
  - \* parameters = sets of weights, bias, embeddings
- Consider how well the model "fits" the training data, in terms of the probability it assigns to the correct output
  - \* e.g.,  $L = \prod_{i=1}^{m} P(w_i | w_{i-2} w_{i-1})$
  - \* want to maximise total probability, L
  - \* equivalently *minimise* -log L with respect to parameters
- Trained using gradient descent
  - tools like *tensorflow, pytorch, dynet* use autodiff to compute gradients automatically

#### **FF-NN-LM**



Bengio et al, 2003

# **FF-NN for Tagging**

- MEMM tagger takes as input:
  - \* recent words  $w_{i-2}, w_{i-1}, w_i$
  - \* recent tags  $t_{i-2}$ ,  $t_{i-1}$
- And outputs: current tag  $t_i$
- Frame as neural network with
  - \* 5 inputs: 3 x word embeddings and 2 x tag embeddings
  - \* 1 output: vector of size |T|, using softmax
- Train to minimise
  - $-\sum_{i} \log P(t_i | w_{i-2}, w_{i-1}, w_i, t_{i-2}, t_{i-1})$

# **FF-NN for tagging**



#### **Recurrent NNLMS**

 What if we structure the network differently, e.g., according to sequence with Recurrent Neural Networks (RNNs)



#### **Recurrent NNLMS**

- Start with
  - initial hidden state *h*<sub>0</sub>
- For each word, *w<sub>i</sub>*, in order *i=1..m* 
  - \* embed word to produce vector, e<sub>i</sub>
  - \* compute hidden  $h_i = \tanh(W e_i + V h_{i-1} + b)$
  - \* compute output  $P(w_{i+1}) = softmax(U h_i + c)$
- Train such to minimise ∑<sub>i</sub> − log P(w<sub>i</sub>)
   \* to learn parameters W, V, U, b, c, h<sub>0</sub>
- Adapt to tagging, e.g., using two RNNs, one for words and one for tags; and tags as outputs

#### RNNs

- Can results in very "deep" networks,
  - great for capturing long fragments: in theory, no limit on context
  - \* difficult to train due to gradient explosion or vanishing
- Variant RNNs designed to behave better: Gated Recurrent Units (GRU), Long Short-Term Memory (LSTM)
- High computational cost with many classes (e.g., #vocab)
  - negative sampling or hierarchical softmax over outputs

#### **Bidirectional RNNS**

- Tagging can be benefit from context to left and right
  - \* easy: use two RNNs, left-to-right and right-to-left



• Often used as word encoding in other tasks, e.g., POS, translation, summarisation, sentence classification

## Final words

- NNet models
  - Robust to word variation, typos, etc
  - \* Excellent generalization, especially RNNs
  - \* Flexible forms the basis for many other models
- Cons
  - \* Much slower than counts... but GPU acceleration
  - \* Lots of classes (e.g., vocabulary)
  - \* Not good for rare words... but pre-training on big corpora
  - \* Data hungry, not so good on tiny data sets

#### **Required Reading**

• E18, 6.3 (skip 6.3.1), 7.6